



## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

03/06/2006

David J. Brezner, Esq.  
DORSEY & WHITNEY LLP  
Suite 1000  
555 California Street  
San Francisco, CA 94104-1513

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Kasia Z. Kappes

(Depositor's name)

Kasia Kappes

(Signature)

June 2, 2006

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/375,164

08/16/1999

BRIAN M. ADAMS

RI-61182/DJB/VEJ

4674

TITLE OF INVENTION: SPILL-REDUCTION CAP FOR FLUID CONTAINER

06/06/2006 DENMANU2 00000025 09375164

01 FC:1501

1400.00 OP

02 FC:8001

30.00 OP

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	06/06/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
CRONIN, STEPHEN K	3727	215-253000

## 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

## 2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Dorsey &amp; Whitney LLP

2

3

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Portola Packaging, Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Batavia, Illinois

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

## 4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 10

## 4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 502319 (enclose an extra copy of this form).

Deficiencies only

6/2/06

## 5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Victor E. Johnson

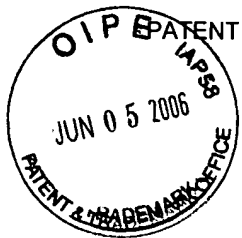
Date June 2, 2006

Typed or printed name Victor E. Johnson

Registration No. 41,546

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Attorney Docket No. RI-61182/DJB/VEJ  
Attorney Matter No. 463678-00162  
Application No. 09/375,164

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

**Brian M. ADAMS et al.**

Application No. **09/375,164**

Filed: **August 16, 1999**

For: **SPILL-REDUCTION CAP FOR  
FLUID CONTAINER**


Art Unit: **3727**

Examiner: **Stephen K. Cronin**

Docket No.: **RI-61182/DJB/VEJ**

Certificate of Mail (37 C.F.R. § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop ISSUE FEE, Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on June 2, 2006.

  
Karla Z. Kappes

Mail Stop ISSUE FEE  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**ISSUE FEE TRANSMITTAL**

Sir:

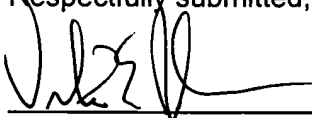
Pursuant to the Notice of Allowance mailed March 6, 2006, enclosed herewith is a completed form PTOL-85B along with our check in the amount of \$1430 to cover the issue fees for a large entity and \$30.00 for ten (10) soft copies.

The Commissioner is hereby authorized to charge any underpayment of fees associated with this communication and/or credit any overpayment to Deposit Account No. 50-2319 (Order No. 463678-00162; Docket No. RI-61182/DJB/VEJ).

Respectfully submitted,

Date: June 2, 2006

By:



Victor E. Johnson, Reg. No. 41,546

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